

Amendment No. 11
to
Participation Agreement between

Joint Allocation Office S.A.,
with its registered office at 2, rue de Bitbourg, L-1273 Luxembourg, Grand Duchy of Luxembourg,
registered with the Luxembourg Trade and Companies Registry (*Registre de Commerce et des*
Sociétés) under number B 142.282

hereinafter referred to as the “**Allocation Platform**”

and

hereinafter referred to as the “**Registered Participant**”

referred to individually as a “**Party**” or together as the

“**Parties**”

Preamble

The Parties entered into a Participation Agreement. As per Article 1 of this Participation Agreement, the Additional Rules are defined as any agreed additional rules in accordance with Article 4 of the Participation Agreement which are either published on the Allocation Platform’s website or attached to the Participation Agreement. The Parties attached seven documents named attachment 1, 2, 3, 4, 5, 6 and 7 to the Participation Agreement. Now the Parties intend update the documents named attachment 1 and/or attachment 4, 5, 6 and 7 to the Participation Agreement. Therefore, in consideration of the promises, agreements and mutual obligations set forth herein, the Parties hereto covenant and agree to amend the Participation Agreement and to enter into this Amendment No. 11 to Participation Agreement.

The Parties hereby agree as follows:

Article 1 – Declarations of the Registered Participant

1. With the delivery of this validly signed Amendment No. 11 including the duly completed attachments, the Registered Participant declares that it has full and complete knowledge of the agreed additional rules in accordance with Article 2 of this Amendment No. 11 and Article 4 of the Participation Agreement (hereinafter referred to as the **Additional Rules**).
2. The Registered Participant unconditionally accepts the agreed Additional Rules as published by the Allocation Platform as a whole including future amendments.
3. The Registered Participant warrants to the Allocation Platform that the information set out in the attachment 1 and/or 4, 5, 6, 7 to this Amendment No. 11 is true, accurate and complete in all material respects.

Article 2 – Agreement on additional rules

Attachment 1 section 3 of the Participation Agreement “Declarations for other processes and Additional Rules” is amended.

Article 3 – General

1. Attachments 1, 4, 5, 6 and 7 which constitutes an integral part of this Amendment, create new attachments of the Participation Agreement.
2. Unless otherwise expressly agreed in this Amendment No. 11, all the remaining provisions of the Participation Agreement stay in force unmodified.
3. This Amendment No. 11, which forms an integral part of the Participation Agreement, becomes valid on the date of last signature of the Parties.

<p>The Registered Participant</p> <p>Name: _____</p> <p>Date: _____</p> <p>Place: _____</p> <p>Signature: _____</p> <p>Name: _____</p> <p>Date: _____</p> <p>Place: _____</p> <p>Signature: _____</p>	<p>The Allocation Platform</p> <p>Name: _____</p> <p>Date: _____</p> <p>Place: Luxembourg</p> <p>Signature: _____</p> <p>Name: _____</p> <p>Date: _____</p> <p>Place: Luxembourg</p> <p>Signature: _____</p>
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Attachments:

- Attachment 1: Submission of information
- Attachment 4: Bidding Zone borders on which the Registered Participant shall be registered according to rules Daily Capacity Allocation on Swiss Borders and Italy – Greece Border
- Attachment 5: Bidding Zone borders on which the Registered Participant shall be registered according to Allocation Rules for Intraday Capacity Allocation on North Italian Borders
- Attachment 6: Bidding Zone borders on which the Registered Participant shall be registered according to Shadow Allocation Rules
- Attachment 7: Borders on which the Registered Participant shall be registered according to Allocation Rules for either (i) Intraday Capacity Allocation (ii) Day Ahead Capacity Allocation or (ii) Long Term Capacity Allocation for the IFA and/or IFA2 interconnectors.

Attachment 1

3. Declarations for other processes and Additional Rules

The Registered Participant accepts the following Additional Rules in accordance with Article 4 of the Participation Agreement:

Name of the Additional Rules	Declaration of acceptance [Indicate Yes/No]
<i>Daily Capacity Allocation on Swiss Borders and Italy – Greece Border¹</i>	
<i>Allocation Rules for Intraday Capacity Allocation on France – Italy and Austria – Italy Borders²</i>	
<i>Relevant Shadow Allocation Rules³</i>	
<i>Rules for Daily Capacity Allocation on Bidding Zone borders AT-CZ, AT-HU, HR-HU, HR-SI, CZ-DE, CZ-PL, PL-SK and PL-DE</i>	
<i>Rules for explicit Daily Capacity Allocation on Bidding Zone border Croatia-Serbia</i>	
<i>Allocation Rules for Forward Capacity Allocation on Swiss Borders⁴</i>	
<i>Daily Capacity Allocation on Bulgaria – Greece Border</i>	
<i>Rules for explicit Daily Capacity Allocation on Bidding Zone border Bulgaria-Serbia</i>	
<i>Rules for Intraday Capacity Allocation on the GB-Belgian Border</i>	
<i>Rules for Daily Capacity Allocation on the GB-Belgian Border⁵</i>	
<i>Rules for Forward Capacity Allocation on the GB-Belgian Border⁵</i>	
<i>Rules for Intraday Capacity Allocation for the IFA and/or IFA2 interconnectors⁶</i>	
<i>Intraday Allocation Rules in the event Great Britain is no longer part of the Internal Energy Market (IEM)⁶</i>	
<i>Day Ahead Allocation Rules in the event Great Britain is no longer part of the Internal Energy Market (IEM)⁶</i>	
<i>Long Term Allocation Rules in the event Great Britain is no longer part of the Internal Energy Market (IEM)⁶</i>	

¹ The Registered Participant is asked to select Bidding Zone borders for which he wished to be registered in Attachment 4.

² The Registered Participant is asked to select Bidding Zone borders for which he wished to be registered in Attachment 5.

³ The Registered Participant is asked to select Bidding Zone borders for which he wished to be registered in Attachment 6.

⁴ Long term allocation borders are organized by distinctive rules i.e. Allocation Rules for Forward Capacity Allocation on Swiss borders are applicable to the following borders: Switzerland <> Austria, France > Switzerland, Switzerland <> Germany, Switzerland <> Italy NORD.

⁵ These rules will be applicable if the UK leaves the EU on 31 October at 23:00 GMT without a withdrawal agreement.

⁶ The Registered Participant is asked to select Bidding Zone borders for which he wishes to be registered in Attachment 7.

Attachment 4

Bidding Zone border(s) on which the Registered Participant shall be registered according to rules Daily Capacity Allocation on Swiss Borders and Italy – Greece Border

Please refer to rules Daily Capacity Allocation on Swiss Borders and Italy – Greece Border to check if the prerequisites for registration are met (tick the appropriate box(es)):

- France – Switzerland Border: in both directions
- Switzerland – Germany Border: in both directions
- Switzerland – Austria Border: in both directions
- Switzerland – Italy Border: in the direction Switzerland to Italy
 in the direction Italy to Switzerland
- Greece – Italy Border: in the direction Greece to Italy
 in the direction Italy to Greece

Attachment 5
Bidding Zone border(s) on which the Registered Participant shall be registered according to
Allocation Rules for Intraday Capacity Allocation on North Italian Borders

Please refer to Allocation Rules for Intraday Capacity Allocation on North Italian Borders to check if the prerequisites for Participation are met (tick the appropriate box(es)):

France – Italy Border:	<input type="checkbox"/>	in both directions
Austria - Italy Border:	<input type="checkbox"/>	in the direction Austria to Italy
	<input type="checkbox"/>	in the direction Italy to Austria

Attachment 6

**Bidding Zone border(s) on which the Registered Participant shall be registered according to
Shadow Allocation Rules**

Please refer to the Shadow Allocation Rules to check if the prerequisites for Participation are met (tick the appropriate box(es)):

Netherlands – Belgium Border:	in both directions
Netherlands – Germany Border:	in both directions
France – Belgium Border:	in both directions
France – Germany Border:	in both directions
France – Italy Border:	in both directions
Austria – Italy Border:	in the direction Austria to Italy in the direction Italy to Austria
Austria – Slovenia Border:	in the direction Austria to Slovenia in the direction Slovenia to Austria
Slovenia - Italy Border:	in the direction Slovenia to Italy in the direction Italy to Slovenia
France – Spain Border:	in both directions
Denmark (DK1) – German Border:	in both directions
Denmark (DK2) – German Border:	in both directions
Norway – Netherlands Border:	in both directions
Croatia – Slovenia Border:	in the direction Croatia to Slovenia in the direction Slovenia to Croatia
Germany – Austria Border:	in the direction Germany to Austria in the direction Austria to Germany
Nemo Link (Belgium-Great Britain):	in both directions
Denmark (DK1) – Netherlands Border:	in both directions
BritNed (Great Britain– Netherlands):	in both directions
IFA (France – Great Britain):	in both directions
IFA2 (France – Great Britain):	in both directions

Attachment 7

Border(s) on which the Registered Participant shall be registered according to Additional Rules for either (i) Intraday Capacity Allocation (ii) Day Ahead Capacity Allocation or (ii) Long Term Capacity Allocation for the IFA and/or IFA2 interconnectors.

Please refer to the Allocation Rules for Intraday Capacity Allocation for the IFA and/or IFA2 interconnectors to check if the prerequisites for registration are met (tick the appropriate box(es)):

IFA (France - Great Britain): in both directions

IFA2 (France - Great Britain): in both directions

Please refer to the Intraday Allocation Rules in the event Great Britain is no longer part of the Internal Energy Market (IEM)¹² to check if the prerequisites for registration are met (tick the appropriate box(es)):

IFA (France - Great Britain): in both directions

IFA2 (France - Great Britain): in both directions

Please refer to the Day Ahead Allocation Rules in the event Great Britain is no longer part of the Internal Energy Market (IEM)¹² to check if the prerequisites for registration are met (tick the appropriate box(es)):

IFA (France - Great Britain): in both directions

IFA2 (France - Great Britain): in both directions

Please refer to the Long Term Allocation Rules in the event Great Britain is no longer part of the Internal Energy Market (IEM)¹² to check if the prerequisites for registration are met (tick the appropriate box(es)):

IFA (France - Great Britain): in both directions

IFA2 (France - Great Britain): in both directions

¹²There are elements of the Additional Rules for IFA and IFA 2 that will apply when Great Britain leaves the European Union and is no longer part of the Internal Energy Market (IEM) and those conditions shall be further defined therein. A Registered Participant shall have full power and authority to enter into this Participation Agreement with respect to those Additional Rules prior to the approval and applicability of those Additional Rules. Notwithstanding the above, the Additional Rules shall only become legally binding from the date of approval by the relevant National Regulatory Authorities and any subsequent notice published by the Allocation Platform.